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By: Leslie Rector



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Vincent Lee C. Chiang, et at.

Docket No.: 66040-9651

Serial No.: 09/530,663

Group Art Unit: 1635

Filed: July 11, 2000

Examiner: McGarry, S.

FOR: GENETIC ENGINEERING OF LIGNIN BIOSYNTHESIS IN PLANTS

SEQUENCE LISTING STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" (copy enclosed) mailed 01/03/01, Applicants respectfully submit the enclosed Sequence Listing in written and computer readable form (one 3.5" diskette prepared in Word 97 and saved as a DOS text file).

In accordance with 37 CFR § 1.821(f) and (g), I hereby state that the content of the computer readable form of the Sequence Listing provided on the enclosed 3.5" diskette is the same as the enclosed Sequence Listing in written form, and that the submission includes no new matter.

Respectfully submitted,

Teresa J. Welch
Reg. No. 33,049

Date: January 26, 2001

Michael Best & Friedrich LLP
One South Pinckney Street, Suite 700
P.O. Box 1806
Madison, WI 53701-1806
(608) 257-3501

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

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Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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For questions regarding compliance to these requirements, please contact:

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For CRF Submission Help, call (703) 308-4212

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